-MPEP-

Appendix L Patent Laws

United States Code Title 35 - Patents

PART I — UNITED STATES PATENT AND TRADEMARK OFFICE

CHAPTER 1 — ESTABLISHMENT, OFFICERS AND EMPLOYEES, FUNCTIONS

Sec.

- 1 Establishment
- 2 Powers and Duties.
- 3 Officers and employees.
- 4 Restrictions on officers and employees as to interest in patents.
- 5 Patent and Trademark Office Public Advisory Committees
- 6 Board of Patent Appeals and Interferences.
- 7 Library.
- 8 Classification of patents.
- 9 Certified copies of records.
- 10 Publications.
- 11 Exchange of copies of patents and applications with foreign countries
- 12 Copies of patents and applications for public libraries.
- 13 Annual report to Congress.

CHAPTER 2 — PROCEEDINGS IN THE PATENT AND TRADEMARK OFFICE

- 21 Filing date and day for taking action.
- 22 Printing of papers filed.
- 23 Testimony in Patent and Trademark Office cases.
- 24 Subpoenas, witnesses.
- 25 Declaration in lieu of oath
- 26 Effect of defective execution.

CHAPTER 3 — PRACTICE BEFORE PATENT AND TRADEMARK OFFICE

- 31 [Repealed].
- 32 Suspension or exclusion from practice.
- 33 Unauthorized representation as practitioner.

CHAPTER 4 — PATENT FEES; FUNDING; SEARCH SYSTEMS

- 41 Patent fees; patent and trademark search systems.
- 42 Patent and Trademark Office funding.

PART II — PATENTABILITY OF INVENTIONS AND GRANT OF PATENTS

CHAPTER 10 — PATENTABILITY OF INVENTIONS

- 100 Definitions.
- 101 Inventions patentable.
- 102 Conditions for patentability; novelty and loss of right to patent.
- 103 Conditions for patentability; non-obvious subject matter.
- 104 Invention made abroad.
- 105 Inventions in outer space.

CHAPTER 11 — APPLICATION FOR PATENT

- 111 Application.
- 112 Specification.
- 113 Drawings
- 114 Models, specimens.
- 115 Oath of applicant.
- 116 Inventors
- 117 Death or incapacity of inventor.
- 118 Filing by other than inventor.
- 119 Benefit of earlier filing date; right of priority.
- 120 Benefit of earlier filing date in the United States.
- 121 Divisional applications.
- 122 Confidential status of applications; publication of patent applications

CHAPTER 12 — EXAMINATION OF APPLICATION

- 131 Examination of application.
- 132 Notice of rejection; reexamination.
- 133 Time for prosecuting application.
- 134 Appeal to the Board of Patent Appeals and Interferences.
- 135 Interferences.

CHAPTER 13 — REVIEW OF PATENT AND TRADEMARK OFFICE DECISION

- 141 Appeal to Court of Appeals for the Federal Circuit.
- 142 Notice of appeal.
- 143 Proceedings on appeal.
- 144 Decision on appeal.
- 145 Civil action to obtain patent.
- 146 Civil action in case of interference.

MANUAL OF PATENT EXAMINING PROCEDURE

CHAPTER 14 — ISSUE OF PATENT

- 151 Issue of patent.
- 152 Issue of patent to assignee.
- 153 How issued.
- 154 Contents and term of patent; provisional rights.
- 155 Patent term extension.
- 155A Patent term restoration
- 156 Extension of patent term.
- 157 Statutory invention registration.

CHAPTER 15 — PLANT PATENTS

- 161 Patents for plants.
- 162 Description, claim.
- 163 Grant
- 164 Assistance of the Department of Agriculture

CHAPTER 16 — DESIGNS

- 171 Patents for designs.
- 172 Right of priority.
- 173 Term of design patent.

CHAPTER 17 — SECRECY OF CERTAIN INVENTIONS AND FILING APPLICATIONS IN FOREIGN COUNTRIES

- 181 Secrecy of certain inventions and withholding of patent.
- 182 Abandonment of invention for unauthorized disclosure.
- 183 Right to compensation.
- 184 Filing of application in foreign country.
- 185 Patent barred for filing without license.
- 186 Penalty
- 187 Nonapplicability to certain persons.
- 188 Rules and regulations, delegation of power.

CHAPTER 18 — PATENT RIGHTS IN INVENTIONS MADE WITH FEDERAL ASSISTANCE

- 200 Policy and objective.
- 201 Definitions.
- 202 Disposition of rights.
- 203 March-in rights.

- 204 Preference for United States industry.
- 205 Confidentiality.
- 206 Uniform clauses and regulations.
- 207 Domestic and foreign protection of federally owned inventions.
- 208 Regulations governing Federal licensing.
- 209 Licensing federally owned inventions.
- 210 Precedence of chapter.
- 211 Relationship to antitrust laws.
- 212 Disposition of rights in educational awards.

PART III — PATENTS AND PROTECTION OF PATENT RIGHTS

CHAPTER 25 — AMENDMENT AND CORRECTION OF PATENTS

- 251 Reissue of defective patents.
- 252 Effect of reissue.
- 253 Disclaimer.
- 254 Certificate of correction of Patent and Trademark Office mistake.
- 255 Certificate of correction of applicant's mistake.
- 256 Correction of named inventor.

CHAPTER 26 — OWNERSHIP AND ASSIGNMENT

- 261 Ownership; assignment
- 262 Joint owners.

CHAPTER 27 — GOVERNMENT INTERESTS IN PATENTS

- 266 [Repealed.]
- 267 Time for taking action in Government applications.

CHAPTER 28 — INFRINGEMENT OF PATENTS

- 271 Infringement of patent.
- 272 Temporary presence in the United States.
- 273 Defense to infringement based on earlier inventor.

CHAPTER 29 — REMEDIES FOR INFRINGEMENT OF PATENT, AND OTHER ACTIONS

- 281 Remedy for infringement of patent.
- 282 Presumption of validity; defenses.

- 283 Injunction.
- 284 Damages.
- 285 Attorney fees.
- 286 Time limitation on damages.
- 287 Limitation on damages and other remedies; marking and notice.
- 288 Action for infringement of a patent containing an invalid claim.
- 289 Additional remedy for infringement of design patent.
- 290 Notice of patent suits.
- 291 Interfering patents
- 292 False marking.
- 293 Nonresident patentee; service and notice.
- 294 Voluntary arbitration.
- 295 Presumptions: Product made by patented process.
- 296 Liability of States, instrumentalities of States, and State officials for infringement of patents.
- 297 Improper and deceptive invention promotion.

CHAPTER 30 — PRIOR ART CITATIONS TO OFFICE AND EX PARTE REEXAMINATION OF PATENTS

- 301 Citation of prior art.
- 302 Request for reexamination
- 303 Determination of issue by Director.
- 304 Reexamination order by Director.
- 305 Conduct of reexamination proceedings.
- 306 Appeal.
- 307 Certificate of patentability, unpatentability, and claim cancellation.

CHAPTER 31 — OPTIONAL INTER PARTES REEXAMINATION PROCEDURES

- 311 Request for inter partes reexamination.
- 312 Determination of issue by Director.
- 313 Inter partes reexamination order by Director.
- 314 Conduct of inter partes reexamination proceedings.
- 315 Appeal
- 316 Certificate of patentability, unpatentability, and claim cancellation.
- 317 Inter partes reexamination prohibited.
- 318 Stay of litigation.

PART IV — PATENT COOPERATION TREATY

CHAPTER 35 — DEFINITIONS

351 Definitions

CHAPTER 36 — INTERNATIONAL STAGE

- 361 Receiving Office.
- 362 International Searching Authority and International Preliminary Examining Authority.
- 363 International application designating the United States: Effect.
- 364 International stage: Procedure.
- 365 Right of priority; benefit of the filing date of a prior application.
- 366 Withdrawn international application.
- 367 Actions of other authorities: Review.
- 368 Secrecy of certain inventions; filing international applications in foreign countries.

CHAPTER 37 — NATIONAL STAGE

- 371 National stage: Commencement.
- 372 National stage: Requirements and procedure.
- 373 Improper applicant.
- 374 Publication of international application.
- 375 Patent issued on international application.
- 376 Fees.

PART I — UNITED STATES PATENT AND TRADEMARK OFFICE

CHAPTER 1 — ESTABLISHMENT, OFFICERS AND EMPLOYEES, FUNCTIONS

Sec.

- Establishment.
- 2 Powers and duties.
- 3 Officers and employees.
- 4 Restrictions on officers and employees as to interest in patents.
- 5 Patent and Trademark Office Public Advisory Committees
- 6 Board of Patent and Appeals and Interferences.
- 7 Library.
- 8 Classification of patents.

L-3 Rev 1, Feb 2003

Stat. 319; Sept. 13, 1982, Public Law 97-258, sec. 3(i), 96 Stat. 1065.)

(Subsection (c) amended Dec. 10, 1991, Public Law 102-204, sec. 5(e), 105 Stat. 1640.)

(Subsection (e) added Dec. 10, 1991, Public Law 102-204, sec. 4, 105 Stat. 1637.)

(Subsection (c) revised Nov. 10, 1998, Public Law 105-358, sec. 4, 112 Stat. 3274.)

(Amended Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-555, 582 (S. 1948 secs. 4205 — 35 U.S.C. 102 Conditions for patentability; novand 4732(a)(10)(A)).)

PART II — PATENTABILITY OF INVENTIONS AND GRANT OF **PATENTS**

CHAPTER 10 — PATENTABILITY OF INVENTIONS

Sec.

- 100 Definitions.
- 101 Inventions patentable.
- 102 Conditions for patentability; novelty and loss of right to patent.
- Conditions for patentability; non-obvious sub-103 iect matter.
- Invention made abroad.
- 105 Inventions in outer space.

5USC\$ 100. → 35 U.S.C. 100 Definitions.

When used in this title unless the context otherwise indicates -

- The term "invention" means invention or (a) discovery.
- (b) The term "process" means process, art, or method, and includes a new use of a known process, machine, manufacture, composition of matter, or material
- (c) The terms "United States" and "this country" mean the United States of America, its territories and possessions.
- (d) The word "patentee" includes not only the patentee to whom the patent was issued but also the successors in title to the patentee.
- (e) The term "third-party requester" means a person requesting ex parte reexamination under section 302 or inter partes reexamination under section 311 who is not the patent owner.

(Subsection (e) added Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-567 (S. 1948 35 USC \$10 sec. 4603).)

35 U.S.C. 101 Inventions patentable.

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

35 USC \$ 10: * elty and loss of right to patent.

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
 - (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for the purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language; or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g)(1) during the course of an interference conducted under section 135 or section 291, another inventor involved therein establishes, to the extent

Rev. 1, Feb. 2003 L-17

permitted in section 104, that before such person's invention thereof the invention was made by such other inventor and not abandoned, suppressed, or concealed, or (2) before such person's invention thereof, the invention was made in this country by another inventor who had not abandoned, suppressed, or concealed it. In determining priority of invention under this subsection, there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

(Amended July 28, 1972, Public Law 92-358, sec. 2, 86 Stat. 501; Nov. 14, 1975, Public Law 94-131, sec. 5, 89 Stat. 691.)

(Subsection (e) amended Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-565 (S. 1948 sec. 4505).)

(Subsection (g) amended Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-590 (S. 1948 sec. 4806).)

5USC \$103 (Subsection (e) amended Nov. 2, 2002, Public Law

* 35 U.S.C. 103 Conditions for patentability; nonobvious subject matter.

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- (b)(1)Notwithstanding subsection (a), and upon timely election by the applicant for patent to proceed under this subsection, a biotechnological process using or resulting in a composition of matter that is novel under section 102 and nonobvious under subsection (a) of this section shall be considered nonobvious if-
- (A) claims to the process and the composition of matter are contained in either the same application for patent or in separate applications having the same effective filing date; and

- (B) the composition of matter, and the process at the time it was invented, were owned by the same person or subject to an obligation of assignment to the same person.
- (2) A patent issued on a process under paragraph (1)-
- (A) shall also contain the claims to the composition of matter used in or made by that process, or
- (B) shall, if such composition of matter is claimed in another patent, be set to expire on the same date as such other patent, notwithstanding section 154.
- (3) For purposes of paragraph (1), the term "biotechnological process" means-
- (A) a process of genetically altering or otherwise inducing a single- or multi-celled organism to-
- (i) express an exogenous nucleotide sequence,
- (ii) inhibit, eliminate, augment, or alter expression of an endogenous nucleotide sequence, or
- (iii) express a specific physiological characteristic not naturally associated with said organism;
- (B) cell fusion procedures yielding a cell line that expresses a specific protein, such as a monoclonal antibody; and
- (C) a method of using a product produced by a process defined by subparagraph (A) or (B), or a combination of subparagraphs (A) and (B).
- (c) Subject matter developed by another person, which qualifies as prior art only under one or more of subsections (e), (f), and (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

(Amended Nov. 8, 1984, Public Law 98-622, sec. 103, 98 Stat. 3384; Nov. 1, 1995, Public Law 104-41, sec. 1, 109 Stat. 3511.)

(Subsection (c) amended Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-591 (S. 1948 sec. 4807).)

35 U.S.C. 104 Invention made abroad.

- (a) IN GENERAL --
- PROCEEDINGS.—In proceedings in the Patent and Trademark Office, in the courts, and before

L-18

35 U.S.C. 111 Application.

(a) IN GENERAL -

- (1) WRITTEN APPLICATION.—An application for patent shall be made, or authorized to be made, by the inventor, except as otherwise provided in this title, in writing to the Director.
- (2) CONTENTS—Such application shall include—
- (A) a specification as prescribed by section 112 of this title;
- (B) a drawing as prescribed by section 113 of this title; and
- (C) an oath by the applicant as prescribed by section 115 of this title.
- (3) FEE AND OATH.—The application must be accompanied by the fee required by law. The fee and oath may be submitted after the specification and any required drawing are submitted, within such period and under such conditions, including the payment of a surcharge, as may be prescribed by the Director.
- (4) FAILURE TO SUBMIT—Upon failure to submit the fee and oath within such prescribed period, the application shall be regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the fee and oath was unavoidable or unintentional. The filing date of an application shall be the date on which the specification and any required drawing are received in the Patent and Trademark Office.

(b) PROVISIONAL APPLICATION.—

- (1) AUTHORIZATION.—A provisional application for patent shall be made or authorized to be made by the inventor, except as otherwise provided in this title, in writing to the Director. Such application shall include—
- (A) a specification as prescribed by the first paragraph of section 112 of this title; and
- (B) a drawing as prescribed by section 113 of this title.
- (2) CLAIM.—A claim, as required by the second through fifth paragraphs of section 112, shall not be required in a provisional application.

(3) FEE.—

- (A) The application must be accompanied by the fee required by law.
- (B) The fee may be submitted after the specification and any required drawing are submitted,

within such period and under such conditions, including the payment of a surcharge, as may be prescribed by the Director.

- (C) Upon failure to submit the fee within such prescribed period, the application shall be regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the fee was unavoidable or unintentional.
- (4) FILING DATE—The filing date of a provisional application shall be the date on which the specification and any required drawing are received in the Patent and Trademark Office.
- (5) ABANDONMENT.—Notwithstanding the absence of a claim, upon timely request and as prescribed by the Director, a provisional application may be treated as an application filed under subsection (a). Subject to section 119(e)(3) of this title, if no such request is made, the provisional application shall be regarded as abandoned 12 months after the filing date of such application and shall not be subject to revival after such 12-month period.
- (6) OTHER BASIS FOR PROVISIONAL APPLICATION—Subject to all the conditions in this subsection and section 119(e) of this title, and as prescribed by the Director, an application for patent filed under subsection (a) may be treated as a provisional application for patent.
- (7) NO RIGHT OF PRIORITY OR BENE-FIT OF EARLIEST FILING DATE.—A provisional application shall not be entitled to the right of priority of any other application under section 119 or 365(a) of this title or to the benefit of an earlier filing date in the United States under section 120, 121, or 365(c) of this title.
- (8) APPLICABLE PROVISIONS.—The provisions of this title relating to applications for patent shall apply to provisional applications for patent, except as otherwise provided, and except that provisional applications for patent shall not be subject to sections 115, 131, 135, and 157 of this title.

(Amended Aug. 27, 1982, Public Law 97-247, sec. 5, 96 Stat. 319; Dec. 8, 1994, Public Law 103-465, sec. 532(b)(3), 108 Stat. 4986; Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-582, 588 (S. 1948 secs. 4732(a)(10)(A), 4801(a)).)

35 U.S.C. 112 Specification.

The specification shall contain a written description of the invention, and of the manner and process of

Rev. 1, Feb 2003 L-20

making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

A claim may be written in independent or, if the nature of the case admits, in dependent or multiple dependent form.

Subject to the following paragraph, a claim in dependent form shall contain a reference to a claim previously set forth and then specify a further limitation of the subject matter claimed. A claim in dependent form shall be construed to incorporate by reference all the limitations of the claim to which it refers.

A claim in multiple dependent form shall contain a reference, in the alternative only, to more than one claim previously set forth and then specify a further limitation of the subject matter claimed. A multiple dependent claim shall not serve as a basis for any other multiple dependent claim. A multiple dependent claim shall be construed to incorporate by reference all the limitations of the particular claim in relation to which it is being considered.

An element in a claim for a combination may be expressed as a means or step for performing a specified function without the recital of structure, material, or acts in support thereof, and such claim shall be construed to cover the corresponding structure, material, or acts described in the specification and equivalents thereof.

(Amended July 24, 1965, Public Law 89-83, sec. 9, 79 Stat. 261; Nov. 14, 1975, Public Law 94-131, sec. 7, 89 Stat. 691.)

35 U.S.C. 113 Drawings.

The applicant shall furnish a drawing where necessary for the understanding of the subject matter sought to be patented. When the nature of such subject matter admits of illustration by a drawing and the applicant has not furnished such a drawing, the Director may require its submission within a time period of not less than two months from the sending of a notice thereof. Drawings submitted after the filing date of

the application may not be used (i) to overcome any insufficiency of the specification due to lack of an enabling disclosure or otherwise inadequate disclosure therein, or (ii) to supplement the original disclosure thereof for the purpose of interpretation of the scope of any claim.

(Amended Nov. 14, 1975, Public Law 94-131, sec. 8, 89 Stat. 691; Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-582 (S. 1948 sec. 4732(a)(10)(A)).)

35 U.S.C. 114 Models, specimens.

The Director may require the applicant to furnish a model of convenient size to exhibit advantageously the several parts of his invention.

When the invention relates to a composition of matter, the Director may require the applicant to furnish specimens or ingredients for the purpose of inspection or experiment.

(Amended Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-582 (S. 1948 sec. 4732(a)(10)(A)).)

35 U.S.C. 115 Oath of applicant.

The applicant shall make oath that he believes himself to be the original and first inventor of the process, machine, manufacture, or composition of matter, or improvement thereof, for which he solicits a patent; and shall state of what country he is a citizen. Such oath may be made before any person within the United States authorized by law to administer oaths, or, when made in a foreign country, before any diplomatic or consular officer of the United States authorized to administer oaths, or before any officer having an official seal and authorized to administer oaths in the foreign country in which the applicant may be, whose authority is proved by certificate of a diplomatic or consular officer of the United States, or apostille of an official designated by a foreign country which, by treaty or convention, accords like effect to apostilles of designated officials in the United States. Such oath is valid if it complies with the laws of the state or country where made. When the application is made as provided in this title by a person other than the inventor, the oath may be so varied in form that it can be made by him. For purposes of this section, a consular officer shall include any United States citizen serving overseas, authorized to perform notarial

Rev. 1, Feb 2003

1000(a)(9), 113 Stat. 1501A-582 (S. 1948 sec. 4732(a)(10)(A)).)

CHAPTER 26 — OWNERSHIP AND ASSIGNMENT

Sec.

261 Ownership; assignment.

262 Joint owners.

35 U.S.C. 261 Ownership; assignment.

Subject to the provisions of this title, patents shall have the attributes of personal property.

Applications for patent, patents, or any interest therein, shall be assignable in law by an instrument in writing. The applicant, patentee, or his assigns or legal representatives may in like manner grant and convey an exclusive right under his application for patent, or patents, to the whole or any specified part of the United States.

A certificate of acknowledgment under the hand and official seal of a person authorized to administer oaths within the United States, or, in a foreign country, of a diplomatic or consular officer of the United States or an officer authorized to administer oaths whose authority is proved by a certificate of a diplomatic or consular officer of the United States, or apostille of an official designated by a foreign country which, by treaty or convention, accords like effect to apostilles of designated officials in the United States, shall be prima facie evidence of the execution of an assignment, grant, or conveyance of a patent or application for patent.

An assignment, grant, or conveyance shall be void as against any subsequent purchaser or mortgagee for a valuable consideration, without notice, unless it is recorded in the Patent and Trademark Office within three months from its date or prior to the date of such subsequent purchase or mortgage.

(Amended Jan. 2, 1975, Public Law 93-596, sec. 1, 88 Stat. 1949; Aug. 27, 1982, Public Law 97-247, sec. 14(b), 96 Stat. 321.)

35 U.S.C. 262 Joint owners.

In the absence of any agreement to the contrary, each of the joint owners of a patent may make, use, offer to sell, or sell the patented invention within the United States, or import the patented invention into the United States, without the consent of and without accounting to the other owners.

(Amended Dec. 8, 1994, Public Law 103-465, sec. 533(b)(3), 108 Stat. 4989.)

CHAPTER 27 — GOVERNMENT INTERESTS IN PATENTS

Sec.

266 [Repealed.]

Time for taking action in Government applica-267 tions.

35 U.S.C. 266 [Repealed.]

(Repealed July 24, 1965, Public Law 89-83, sec. 8, 79 Stat. 261.)

35 U.S.C. 267 Time for taking action in Government applications.

Notwithstanding the provisions of sections 133 and 151 of this title, the Director may extend the time for taking any action to three years, when an application has become the property of the United States and the head of the appropriate department or agency of the Government has certified to the Director that the invention disclosed therein is important to the armament or defense of the United States.

(Amended Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-582 (S. 1948 sec. 4732(a)(10)(A)))

CHAPTER 28 — INFRINGEMENT OF **PATENTS**

Sec

271 Infringement of patent.

Temporary presence in the United States 272

272 Temporary presence in the contract of the presence of the contract of the

35 U.S.C. 271 Infringement of patent.

- (a) Except as otherwise provided in this title, whoever without authority makes, uses, offers to sell, or sells any patented invention, within the United States, or imports into the United States any patented invention during the term of the patent therefor, infringes the patent.
- (b) Whoever actively induces infringement of a patent shall be liable as an infringer.
- (c) Whoever offers to sell or sells within the United States or imports into the United States a component of a patented machine, manufacture, combination, or composition, or a material or apparatus for use

L-54 Rev. 1, Feb. 2003

in practicing a patented process, constituting a material part of the invention, knowing the same to be especially made or especially adapted for use in an infringement of such patent, and not a staple article or commodity of commerce suitable for substantial non-infringing use, shall be liable as a contributory infringer.

- (d) No patent owner otherwise entitled to relief for infringement or contributory infringement of a patent shall be denied relief or deemed guilty of misuse or illegal extension of the patent right by reason of his having done one or more of the following: (1) derived revenue from acts which if performed by another without his consent would constitute contributory infringement of the patent; (2) licensed or authorized another to perform acts which if performed without his consent would constitute contributory infringement of the patent; (3) sought to enforce his patent rights against infringement or contributory infringement; (4) refused to license or use any rights to the patent; or (5) conditioned the license of any rights to the patent or the sale of the patented product on the acquisition of a license to rights in another patent or purchase of a separate product, unless, in view of the circumstances, the patent owner has market power in the relevant market for the patent or patented product on which the license or sale is conditioned.
- (e)(1) It shall not be an act of infringement to make, use, offer to sell, or sell within the United States or import into the United States a patented invention (other than a new animal drug or veterinary biological product (as those terms are used in the Federal Food, Drug, and Cosmetic Act and the Act of March 4, 1913) which is primarily manufactured using recombinant DNA, recombinant RNA, hybridoma technology, or other processes involving site specific genetic manipulation techniques) solely for uses reasonably related to the development and submission of information under a Federal law which regulates the manufacture, use, or sale of drugs or veterinary biological products.
- (2) It shall be an act of infringement to submit —
- (A) an application under section 505(j) of the Federal Food, Drug, and Cosmetic Act or described in section 505(b)(2) of such Act for a drug

claimed in a patent or the use of which is claimed in a patent, or

- (B) an application under section 512 of such Act or under the Act of March 4, 1913 (21 U.S.C. 151 158) for a drug or veterinary biological product which is not primarily manufactured using recombinant DNA, recombinant RNA, hybridoma technology, or other processes involving site specific genetic manipulation techniques and which is claimed in a patent or the use of which is claimed in a patent, if the purpose of such submission is to obtain approval under such Act to engage in the commercial manufacture, use, or sale of a drug or veterinary biological product claimed in a patent or the use of which is claimed in a patent before the expiration of such patent.
- (3) In any action for patent infringement brought under this section, no injunctive or other relief may be granted which would prohibit the making, using, offering to sell, or selling within the United States or importing into the United States of a patented invention under paragraph (1).
- (4) For an act of infringement described in paragraph (2)—
- (A) the court shall order the effective date of any approval of the drug or veterinary biological product involved in the infringement to be a date which is not earlier than the date of the expiration of the patent which has been infringed,
- (B) injunctive relief may be granted against an infringer to prevent the commercial manufacture, use, offer to sell, or sale within the United States or importation into the United States of an approved drug or veterinary biological product, and
- (C) damages or other monetary relief may be awarded against an infringer only if there has been commercial manufacture, use, offer to sell, or sale within the United States or importation into the United States of an approved drug or veterinary biological product.

The remedies prescribed by subparagraphs (A), (B), and (C) are the only remedies which may be granted by a court for an act of infringement described in paragraph (2), except that a court may award attorney fees under section 285.

(f)(1) Whoever without authority supplies or causes to be supplied in or from the United States all or a substantial portion of the components

claims is in use before the later of the effective filing date of the patent or the date of the assignment or transfer of such enterprise or line of business.

- (8) UNSUCCESSFUL ASSERTION OF DEFENSE.— If the defense under this section is pleaded by a person who is found to infringe the patent and who subsequently fails to demonstrate a reasonable basis for asserting the defense, the court shall find the case exceptional for the purpose of awarding attorney fees under section 285 of this title.
- (9) INVALIDITY.— A patent shall not be deemed to be invalid under section 102 or 103 of this title solely because a defense is raised or established under this section.

(Added Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-555 (S 1948 sec. 4302).)

CHAPTER 29 — REMEDIES FOR INFRINGEMENT OF PATENT, AND OTHER ACTIONS

Sec.

- 281 Remedy for infringement of patent.
- 282 Presumption of validity; defenses.
- 283 Injunction
- 284 Damages
- 285 Attorney fees.
- 286 Time limitation on damages.
- 287 Limitation on damages and other remedies; marking and notice.
- 288 Action for infringement of a patent containing an invalid claim.
- 289 Additional remedy for infringement of design patent.
- 290 Notice of patent suits.
- 291 Interfering patents.
- 292 False marking.
- 293 Nonresident patentee; service and notice.
- 294 Voluntary arbitration.
- 295 Presumptions: Product made by patented process.
- 296 Liability of States, instrumentalities of States, and State officials for infringement of patents.
 - Improper and deceptive invention promotion

35 U.S.C. 281 Remedy for infringement of patent.

A patentee shall have remedy by civil action for infringement of his patent.

35 U.S.C. 282 Presumption of validity; defenses.

A patent shall be presumed valid. Each claim of a patent (whether in independent, dependent, or multiple dependent form) shall be presumed valid independently of the validity of other claims; dependent or multiple dependent claims shall be presumed valid even though dependent upon an invalid claim. Notwithstanding the preceding sentence, if a claim to a composition of matter is held invalid and that claim was the basis of a determination of nonobviousness under section 103(b)(1), the process shall no longer be considered nonobvious solely on the basis of section 103(b)(1). The burden of establishing invalidity of a patent or any claim thereof shall rest on the party asserting such invalidity.

The following shall be defenses in any action involving the validity or infringement of a patent and shall be pleaded:

- (1) Noninfringement, absence of liability for infringement, or unenforceability,
- (2) Invalidity of the patent or any claim in suit on any ground specified in part II of this title as a condition for patentability,
- (3) Invalidity of the patent or any claim in suit for failure to comply with any requirement of sections 112 or 251 of this title,
- (4) Any other fact or act made a defense by this title.

In actions involving the validity or infringement of a patent the party asserting invalidity or noninfringement shall give notice in the pleadings or otherwise in writing to the adverse party at least thirty days before the trial, of the country, number, date, and name of the patentee of any patent, the title, date, and page numbers of any publication to be relied upon as anticipation of the patent in suit or, except in actions in the United States Court of Federal Claims, as showing the state of the art, and the name and address of any person who may be relied upon as the prior inventor or as having prior knowledge of or as having previously used or offered for sale the invention of the patent in suit. In the absence of such notice proof of the said matters may not be made at the trial except on such terms as the court requires.

Invalidity of the extension of a patent term or any portion thereof under section 154(b) or 156 of this title because of the material failure—

(1) by the applicant for the extension, or

35^{USC}

Rev. 1, Feb. 2003

(2) by the Director, to comply with the requirements of such section shall be a defense in any action involving the infringement of a patent during the period of the extension of its term and shall be pleaded. A due diligence determination under section 156(d)(2) is not subject to review in such an action.

(Amended July 24, 1965, Public Law 89-83, sec. 10, 79 Stat. 261; Nov. 14, 1975, Public Law 94-131, sec. 10, 89 Stat. 692; Apr. 2, 1982, Public Law 97-164, sec. 161(7), 96 Stat. 49; Sept. 24, 1984, Public Law 98-417, sec. 203, 98 Stat. 1603; Oct. 29, 1992, Public Law 102-572, sec. 902(b)(1), 106 Stat. 4516; Nov. 1, 1995, Public Law 104-41, sec. 2, 109 Stat. 352; Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-560, 582 (S. 1948 secs. 4402(b)(1) and 4732(a)(10)(A).)

35 U.S.C. 283 Injunction.

The several courts having jurisdiction of cases under this title may grant injunctions in accordance with the principles of equity to prevent the violation of any right secured by patent, on such terms as the court deems reasonable.

35 U.S.C. 284 Damages.

Upon finding for the claimant the court shall award the claimant damages adequate to compensate for the infringement but in no event less that a reasonable royalty for the use made of the invention by the infringer, together with interest and costs as fixed by the court.

When the damages are not found by a jury, the court shall assess them. In either event the court may increase the damages up to three times the amount found or assessed. Increased damages under this paragraph shall not apply to provisional rights under section 154(d) of this title.

The court may receive expert testimony as an aid to the determination of damages or of what royalty would be reasonable under the circumstances.

(Amended Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-566 (S. 1948 sec. 4507(9)).)

35 U.S.C. 285 Attorney fees.

The court in exceptional cases may award reasonable attorney fees to the prevailing party.

35 U.S.C. 286 Time limitation on damages.

Except as otherwise provided by law, no recovery shall be had for any infringement committed more

than six years prior to the filing of the complaint or counterclaim for infringement in the action.

In the case of claims against the United States Government for use of a patented invention, the period before bringing suit, up to six years, between the date of receipt of a written claim for compensation by the department or agency of the Government having authority to settle such claim, and the date of mailing by the Government of a notice to the claimant that his claim has been denied shall not be counted as a part of the period referred to in the preceding paragraph.

35 U.S.C. 287 Limitation on damages and other remedies; marking and notice.

- (a) Patentees, and persons making, offering for sale, or selling within the United States any patented article for or under them, or importing any patented article into the United States, may give notice to the public that the same is patented, either by fixing thereon the word "patent" or the abbreviation "pat", together with the number of the patent, or when, from the character of the article, this cannot be done, by fixing to it, or to the package wherein one or more of them is contained, a label containing a like notice. In the event of failure so to mark, no damages shall be recovered by the patentee in any action for infringement, except on proof that the infringer was notified of the infringement and continued to infringe thereafter, in which event damages may be recovered only for infringement occurring after such notice. Filing of an action for infringement shall constitute such notice.
- (b)(1) An infringer under section 271(g) shall be subject to all the provisions of this title relating to damages and injunctions except to the extent those remedies are modified by this subsection or section 9006 of the Process Patent Amendments Act of 1988. The modifications of remedies provided in this subsection shall not be available to any person who—
 - (A) practiced the patented process;
- (B) owns or controls, or is owned or controlled by, the person who practiced the patented process; or
- (C) had knowledge before the infringement that a patented process was used to make the product the importation, use, offer for sale, or sale of which constitutes the infringement.
- (2) No remedies for infringement under section 271(g) of this title shall be available with respect to any product in the possession of, or in transit to, the

L-59 Rev 1. Feb. 2003